

(E) — Appendix 1 to AMC9 SKPI — Just Culture Questionnaire — State level

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| Name | |
| Organisation | |
| State | |
| Job Title | |

Just Culture Questionnaire

Policy and its implementation

Policy elements related questions

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| ST.P.1 | Is there a clearly identified Just Culture policy, endorsed by the relevant Ministry or aviation authority and made public? | <input type="radio"/> Yes <input type="radio"/> No |
| Justification and remarks for selected answer | | |
| ST.P.2 | Does the Just Culture Policy contain a description of what is considered to be unacceptable behaviour? | <input type="radio"/> Yes <input type="radio"/> No |
| Justification and remarks for selected answer | | |

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| ST.P.3 | Does the Just Culture Policy refer to legal provisions which guarantee no punishment for self-reported occurrences (except for the cases defined above in question ST.P.2)? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| ST.P. 4 | Does the State require a Just Culture policy in Air Navigation Service Providers? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| Roles and Responsibilities clearly defined and implemented | | |
| ST.P.6 5 | Is the role of different State authorities and Air Navigation Service Providers in handling safety reports and the flow of information clearly defined in the State? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| ST.P.6 | Is the safety investigation and/or analysis process within the State entirely independent from any judicial authority? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| ST.P.7 | Does the State take initiatives to promote Just Culture provisions in its judicial system? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| Training | | |
| ST.P.8 | Does the State ensure that elements and/or courses on Just Culture are included in the training programmes for relevant staff working in the competent authority e.g. initial and continuation training? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| ST.P.9 | Are qualifications and training requirements as regards Just Culture for State safety investigators clearly defined? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| Legal/Judiciary | | |
| Primary legislation | | |
| ST.L.1 | Where Freedom of Information legislation is promulgated, does it provide for exemptions applicable to safety information? | <input type="radio"/> Yes <input type="radio"/> No |
| Justification and remarks for selected answer | | |

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| ST.L.2 | Are there provisions in the law affording protection from prosecution to individuals involved in safety events, under the principles of Just Culture? | <input type="radio"/> Yes <input type="radio"/> No |
| Justification and remarks for selected answer | | |

| Judicial procedures and specific aviation legislation | | |
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| ST.L.3 | Is there an entity within the State, supported by Subject Matter Experts, with clearly defined principles, against which the Subject Matter Experts decide s whether relevant safety events are a matter for prosecution? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |
| ST.L.4 | Is there a judicial procedure to ensure that in the case of prosecution linked to an aviation accident/incident Subject Matter Experts will be involved? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |
| ST.L.5 | Are the provisions of Directive 2003/42/EC on occurrence reporting in civil aviation and in particular the provisions contained in its Article 8 (Protection of information) fully and effectively implemented in the national legislation? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |
| Formal agreement | | |
| ST.L.6 | Is there an established process on exchange of information to guarantee appropriate use of safety information by police/judicial authorities? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| ST.L.7 | Is there an agreed process to deal with interactions on aviation incident matters between the aviation authorities and judicial/police authorities? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| Occurrence reporting and investigation | | |
| Occurrence reporting and investigation | | |
| ST.O.1 | Does the State provide regular statistical feedback to the public based on safety reports received (e.g. annual reports)? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |

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| ST.O.2 | Are Subject Matter Experts involved in making the decision in cases where personnel licences/ratings could be affected? | <input type="radio"/> Yes <input type="radio"/> No |
| <i>Justification and remarks for selected answer</i> | | |