(E) — Appendix 1 to AMC 9 SKPI — Just Culture Questionnaire — State level

Name	

Organization	

State	

Job Title	

Just Culture Questionnaire

Policy and its implementation

Policy elements related questions		
ST.P.1	Is there a clearly identified Just Culture policy, endorsed by the relevant Ministry or aviation authority and made public?	○ Yes ○ No
Justification and remarks for selected answer		

ST.P.2	Does it the Just Culture Policy contain a description of what is considered to be unacceptable behaviour?	○ Yes ○ No
Justification and remarks for selected answer		

ST.P.3	Does it the Just Culture Policy refer to legal provisions which guarantee no punishment for self-reported occurrences (except for the cases defined above in question ST.P.2)?	○ **Yes** ○ **No**
Justification and remarks for selected answer		

ST.P. 4	Does the State require a Just Culture policy in Air Navigation Service Providers?	○ **Yes** ○ **No**
Justification and remarks for selected answer		

Roles and Responsibilities clearly defined and implemented		
ST.P.6 5	Is the role of different State authorities and Air Navigation Service Providers in handling safety reports and the flow of information clearly defined in the State?	○ **Yes** ○ **No**
Justification and remarks for selected answer		

ST.P.6	Is the safety investigation and/or analysis process within the State entirely independent from any judicial authority?	○ Yes ○ No
Justification and remarks for selected answer		

ST.P.7	Does the State take initiatives to promote Just Culture provisions in its judicial system?	○ Yes ○ No
Justification and remarks for selected answer		

Training

ST.P.8	Does the State ensure that elements and/or courses on Just Culture are included in the training programmes for relevant staff working in the competent authority e.g. initial and continuation training?	○ Yes ○ No
Justification and remarks for selected answer		

ST.P.9	Are qualifications and training requirements as regards Just Culture for State safety investigators clearly defined?	○ Yes ○ No
Justification and remarks for selected answer		

Legal/Judiciary		
Primary legislation		
ST.L.1	Where Freedom of Information legislation is promulgated, does it provide for exemptions applicable to safety information?	○ Yes ○ No
Justification and remarks for selected answer		

ST.L.2	Are there provisions in the law affording protection from prosecution to individuals involved in safety events, under the principles of Just Culture?	○ Yes ○ No
Justification and remarks for selected answer		

Judicial procedures and specific aviation legislation

ST.L.3	Is there an entity within the State, supported by Subject Matter Experts, with clearly defined ~~rules~~ principles~~,~~ against which the Subject Matter Experts ~~which~~ decide ~~s~~ whether relevant safety events are a matter for prosecution?	○ Yes ○ No
Justification and remarks for selected answer		

ST.L.4	Is there a judicial procedure to ensure that in the case of prosecution linked to an aviation accident/incident Subject Matter Experts will be involved?	○ Yes ○ No
Justification and remarks for selected answer		

ST.L.5	Are the provisions of Directive 2003/42/EC on occurrence reporting in civil aviation and in particular the provisions contained in its Article 8 (Protection of information) fully and effectively implemented in the national legislation?	○ Yes ○ No
Justification and remarks for selected answer		

Formal agreement

ST.L.6	Is there an established process on exchange of information to guarantee appropriate use of safety information by police/judicial authorities?	○ Yes ○ No
Justification and remarks for selected answer		

ST.L.7	Is there an agreed process to deal with interactions on aviation incident matters between the aviation authorities and judicial/police authorities?	○ Yes ○ No
Justification and remarks for selected answer		

Occurrence reporting and investigation

Occurrence reporting and investigation

ST.O.1	Does the State provide regular statistical feedback to the public based on safety reports received (e.g. annual reports)?	○ Yes ○ No
Justification and remarks for selected answer		

ST.O.2	Are Subject Matter Experts involved in making the decision in cases where personnel licences/ratings could be affected?	○ Yes ○ No
Justification and remarks for selected answer		